

08/21/98



PATENT

Docket No. 0225-4161US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))



ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and

Address(es): SHOLOM S. ROSEN, 10 West 86th Street, (Apt. 7-A), New York, New York 10024 U.S.A.

For:

ELECTRONIC TRANSACTION APPARATUS FOR ELECTRONIC COMMERCE

Enclosed are:

☒ 75 _____ page(s) of specification, 1 page(s) of Abstract, 5 page(s) of claims

☒ 91 _____ sheets of drawing ☐ formal ☒ informal

☒ 4 _____ page(s) of Declaration and Power of Attorney

☐ Unsigned

☐ Newly Executed

☒ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☒ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Microfiche Computer Program (Appendix)

☐ _____ page(s) of Sequence Listing

☐ computer readable disk containing Sequence Listing

☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

☐ Claim for Priority

- 395 11 6 1 7 3 9 21
699
- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☐ Information Disclosure Statement
- ☐ Copy of ____ cited references
- ☐ Copy of PTO-1449 filed in parent application serial No. _____
- ☒ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Supplemental Declaration
- ☐ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment.
- ☒ Assignment papers filed in parent application Serial No. 08/234,461.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☒ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. 08/895,395.
- ☒ Cancel in this application original claims 2-11 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ Patent Application 08/895,395 is pending.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.

☒ Amend the specification by inserting before the first line the sentence:

This is a divisional of co-pending application Serial No. 08/895,395 filed July 16, 1997, which is a divisional of application Serial No. 08/730,158 filed October 23, 1996, now U.S. Patent No. 5,703,949, which is a file wrapper continuation of application Serial No. 08/575,699 filed December 19, 1995, now abandoned, which is a divisional of application Serial No. 08/234,461 filed April 28, 1994, now U.S. Patent No. 5,557,518.

					Basic Fee
	Number Filed		Number Extra	Rate	\$790.00
Total Claims	7	-20=	0	x\$22.00	\$0
Independent Claims	2	-3=	0	x82.00	\$0
Multiple Dependent Claims					
	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no		Additional Fee =	\$270.00	\$0
			Add'l Fee =	NONE	

☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ _____.

☒ A check in the amount of \$ 790.00 in payment of the application filing fees is attached.

☐ Charge Fee(s) to Deposit Account No. 13-4500. Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 0225-4161US16. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

MORGAN & FINNEGAN, L.L.P.

By:

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- 3 -

08/21/98



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PATENT
Docket No. 0225-4161US16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Sholom S. Rosen

Serial No. : Group Art Unit :

Filed : Examiner:

For : ELECTRONIC TRANSACTION APPARATUS FOR ELECTRONIC COMMERCE

Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EI086332033US

Date of Deposit August 21, 1998

I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Application Fee Transmittal
2. Patent Application (75 pages specification; 1 page abstract; 5 pages claims; 91 sheets drawings)
3. Copy of Combined Declaration and Power of Attorney
4. Preliminary Amendment
5. Supplemental Declaration
6. Check for \$790.00
7. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington,

D.C. 20231, Attn: Box Patent Application.

Gilberto Soto

(Typed or printed name of person mailing paper(s)
and/or fee)

(Signature of person mailing paper(s) and/or fee)

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Rev. 11/13/97

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